



# Exercise physiology in left ventricular assist device patients: insights from hemodynamic simulations

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Left ventricular assist devices (LVADs) assure longer survival to patients, but exercise capacity is limited compared to normal values. Overall, LVAD patients show high wedge pressure and low cardiac output during maximal exercise, a phenomenon hinting at the need for increased LVAD support. Clinical studies investigating the hemodynamic benefits of an LVAD speed increase during exercise, ended in inhomogeneous and sometimes contradictory results. The native ventricle-LVAD interaction changes between rest and exercise, and this evolution is complex, multifactorial and patient-specific. The aim of this paper is to provide a comprehensive overview on the patient-LVAD interaction during exercise and to delineate possible therapeutic strategies for the future. A computational cardiorespiratory model was used to simulate the hemodynamics of peak bicycle exercise in LVAD patients. The simulator included the main cardiovascular and respiratory impairments commonly observed in LVAD patients, so as to represent an average hemodynamic response to exercise. In addition, other exercise responses were simulated, by tuning the chronotropic, inotropic and vascular functions, and implementing aortic regurgitation and stenosis in the simulator. These profiles were tested under different LVAD speeds and LVAD pressure-flow characteristics. Simulations output showed consistency with clinical data from the literature. The simulator allowed the working condition of the assisted ventricle at exercise to be investigated, clarifying the reasons behind the high wedge pressure and poor cardiac output observed in the clinics. Patients with poorer inotropic, chronotropic and vascular functions, are likely to benefit more from an LVAD speed increase during exercise. Similarly, for these patients, a flatter LVAD pressure-flow characteristic can assure better hemodynamic support under physical exertion. Overall, the study evidenced the need for a patient-specific approach on supporting exercise hemodynamics. In this frame, a complex simulator can constitute a valuable tool to define and test personalized speed control algorithms and strategies.

**Keywords:** Exercise capacity; ventricular assist device; cardiovascular model



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## Introduction

### Left ventricular assist device (LVAD) therapy

Over the last two decades, the use of LVADs has increased tremendously. Over the last decade, continuous flow devices have evolved to become the most used ones, with more than 95% of the implanted devices now being this type (1,2).

### Hemodynamics of LVAD patients

The purpose of the LVAD is to support the cardiac output (CO) of heart failure (HF) patients. Although CO normalizes, the arterial pressure in LVAD patients is quite different from patients without an assist device. A continuous flow increases the mean arterial pressure, mainly due to the increase of diastolic blood pressure (3).

Because there is an increased risk of stroke if the systolic pressure exceeds 100 mmHg, most LVAD centers will treat their patients with antihypertensive drugs to keep the systolic pressures below this value (4). Since the LVAD actively drains blood from the ventricle, the ventricle in LVAD patients is preload deprived. According to the Frank-Starling mechanism, this leads to a lesser pressure developed in systole (5). On top of that, the LVAD increases the arterial pressures, thus increasing the afterload for the ventricle. This can lead to a state in which the aortic valve doesn't open anymore, or only intermittently. LVAD therapy also decreases the pulmonary artery pressures and pulmonary capillary wedge pressure (PCWP) (6,7). This is also the case in many patients with fixed pulmonary hypertension, giving them the opportunity to be transplanted after LVAD therapy (8).

### LVAD and organ function

LVAD therapy improves end organ function to a certain extent in HF patients. Kidney function improves in the first months after implantation in most patients, only in a minor subset of patients these changes are present in the long-term follow-up (9). Liver function has been studied less in LVAD patients. Patients with preoperative impairment in liver function showed a recovery after LVAD implant (10).

Despite a survival benefit in HF patients (11), LVAD therapy is associated with complications, especially stroke, infection, bleeding and organ dysfunction (12). LVAD patients report an improved physical activity and quality of life but this improvement is still inferior to that of patients who received a heart transplant (13,14). Third generation LVADs with magnetic levitation of the rotor are now showing comparable three-year survival rates to transplantation (15). The increasing use of LVADs as a destination therapy and the increased long-term survival will make reducing complications and increasing quality of life one of the major challenges in the future of LVAD development.

### Clinical observations during exercise

Oxygen consumption at peak exercise ( $pVO_2$ ), measured with cardiopulmonary exercise testing, is used for a wide spectrum of clinical applications, ranging from the assessment of athletes' performance to the evaluation of cardiorespiratory impairments (16). Cardiopulmonary exercise testing is highly recommended for treatment

evaluation in advanced stage HF patients and useful for patients' risk assessment and stratification for risk of HF (17). The results of these tests, also referred to as maximal exercise capacity, encompass the entire body as a multi-organ system. Physiologic responses during cardiopulmonary exercise tests involve the somatic and autonomic nervous systems, imply an adequate functional response of the heart and the circulatory system, and require the lungs and the muscles to generate proper energy output according to the exercise intensity level.

The population of LVAD recipients contains advanced HF patients from a wide distribution of demographics and epidemiologic profiles on the continuous HF spectrum. Higher age and weight, deconditioning and HF with reduced ejection fraction are associated with lower  $pVO_2$ , to name a few of the general impairments involved (17). Confounding factors for  $pVO_2$  are possibly implied in "LVAD implantation timing" or "HF time course" (18). Despite the success of normalizing hemodynamics at rest and improvements in survival after LVAD implantation, patients'  $pVO_2$  remain severely impaired at approximately 50% compared to age and gender matched controls (19). For this comparison it is noteworthy that most patients are too frail to even perform exercise capacity testing pre-LVAD. In patients able to perform exercise capacity testing prior to LVAD, one study reported significant increase in  $pVO_2$  after LVAD implantation whereas another reported no significant changes in  $pVO_2$  after implantation (20,21).

### Maximal and submaximal exercise

Submaximal exercise [measured through six-minute walk (6MW)] and functional capacity, were observed to improve in the first six months after LVAD implantation (22). Similarly, maximal exercise capacity, assessed by cardiopulmonary tests, improves in the first six months after LVAD implantation (23).

### Influence of LVAD speed on exercise capacity

Exercise in LVAD patients was studied extensively for more than a decade (19,24-26). One major focus of these studies was to analyse the influence of LVAD speed on exercise capacity, or CO at peak exercise as its surrogate. Therefore, various comparisons were performed: baseline speed *vs.* high speed, baseline speed *vs.* reduced speed, reduced speed *vs.* high speed and baseline speed constant *vs.* ramp speed increase (19,24,25). Different results were reported and no

clear benefit of LVAD speed on the complex interactions involved to improve exercise performance could be observed unanimously. Minor to no improvements with higher LVAD speeds compared to baseline were reported, whereas lower results were found with less cardiac support by lower LVAD speeds. Amongst the most important findings was the observation of a patient subgroup with a higher ejection fraction that showed less dependency on exercise output with LVAD speed reduction (27) as well as the finding that older LVAD patients showed more benefit in terms of increase in  $pVO_2$  with LVAD speed increase (28). Even though higher LVAD speeds at rest reduce pulmonary pressures, similar levels of PCWP for constant versus increased LVAD speed were reported (26). Nevertheless, improvements with increased LVAD speed compared to baseline speed were observed for the sub-maximal 6MW test. In summary the clinical observations showed less decrease in exercise performance with lower LVAD speeds for patients with a better left ventricular contractility, whereas in general no uniform trend of an increase in exercise performance with higher LVAD speeds compared to baseline speeds were observed.

### CO during maximum exercise

The evaluation of CO repartition by the LVAD and through the aortic valve can be investigated by combining (invasive) total CO measurement with measured or estimated LVAD pump flow. Acquiring both flows simultaneously is challenging and was done very sparsely in previous clinical studies. Even though crucial insights into pumping performance by the ventricle and the LVAD were missing, the low  $pVO_2$  values in LVAD patients as well as the high PCWPs measured at peak exercise do hint towards an incapability of the left ventricle together with the LVAD to accommodate higher CO. Additionally, the occurrence of pulmonary decoupling, the decoupling between diastolic pulmonary artery pressure and PCWP, may further complicate the interpretation of hemodynamics during exercise due to its impact on right heart function.

### Contribution of native response and LVAD support to exercise

A clinical observational study of continuous LVAD monitoring shed light on the individual's hemodynamic response during exercise with constant LVAD speed (29,30). The study reported an inadequate increase in

LVAD output (of approximately +1 L/min on average) from baseline to peak exercise. This increase in LVAD output was independent on aortic valve opening at peak exercise. Furthermore, similar increases in LVAD output were observed during submaximal compared to maximal workloads. Therefore, regression analysis of multiple LVAD monitored parameters with  $pVO_2$  showed relevant associations of the cardiac response, namely heart rate (HR) reserve and aortic valve opening, whereas increase in LVAD output was irrelevant (29).

In general, the following parameters have been reported to show associations with  $pVO_2$ : age, total CO, flow through the aortic valve, peak arterial venous oxygen difference, chronotropic incompetence (HR reserve), hemoglobin levels, PCWP, NT-pro BNP, valve pathology or status, absolute LVAD parameters and timing of LVAD implant (18,31-34). Furthermore, as opposed to stable HF patients where  $pVO_2$ s are clinically interpreted based on beta blockers, in LVAD patients treatment with beta blockers showed no clear trend of influencing  $pVO_2$ s, nor did atrial fibrillation, noradrenaline levels or HF etiology (18,32,33,35). Contradictory results compared to the aforementioned studies were in regards to device type, chronotropic incompetence as well as determinants of right heart function (18,31,32,34,35).

One possible reason why the LVAD itself may remain as the bottleneck during maximum exercise capacity testing is the insufficient device monitoring capabilities. Continuous LVAD monitoring during exercise would allow assessment of the hemodynamic interactions in terms of aortic and left ventricular differential pressure (pump head). In a clinical study where LVAD waveforms were monitored, different responses to maximal exercise were reported (30). Analysis of these responses with the information of aortic valve opening, or non-opening, helped to understand and stratify patient responses based on the complex interaction between the ventricle, the LVAD and the vascular system.

### Submaximal vs. maximal exercise

Predicted values, calculated by percentage to averages of gender and age-matched healthy controls, showed higher values for submaximal than maximal exercise capacity (18,29,36). This might imply that the stress generated by submaximal exercise, where regulatory mechanisms are not involved to their maximum, might be better tolerated for LVAD patients. Furthermore, the correlation of percentage of expected 6MW distance with the percentage

of  $pVO_2$  could increase the relevancy to perform 6MW tests (18). The following variables correlated with 6MW distance were reported: age, HF severity (determined by NYHA functional class and INTERMACS profiles), atrial fibrillation, diabetes, hemoglobin and estimated glomerular filtration rate (18,37). However for the comparison of patients stratified by a 6MW distance cut-off of 300m, variables such as age, atrial fibrillation, HF etiology, INTERMACS profile or echocardiographic right ventricular parameters, did not differ between the groups as reported in Hoermandinger *et al.* (38).

Due to the still unclear picture of maximal and sub-maximal exercise tests, pre- and/or post-LVAD implantation, further studies have been conducted (34,39,40). Exercise test results and their potential for risk stratification would provide important clinical insights (17,21,41). For possible LVAD device adjustments or changes in medical therapy, exercise test results might be helpful as proposed in Corrà *et al.* (17). Submaximal tests for these purposes have proven to be relevant (42). Nevertheless, to utilize the recognized benefits of exercise, a regular medical training regime should be performed (43).

### Insights from a cardiorespiratory simulator

To unravel the complexity of exercise physiology with an LVAD, described in the previous paragraphs, a cardiorespiratory simulator was used. Computational simulators representing the entire cardiorespiratory system are usually based on lumped parameters models, meaning that vessels are lumped into compartment with specific resistances, compliances and inertances.

### Description of the cardiorespiratory simulator

In this paper, we describe a cardiorespiratory simulator, specifically tailored to reproduce and investigate exercise hemodynamics in LVAD patients. The simulator was already presented extensively in previous papers (44,45), we will describe it here briefly. The simulator includes the left and right ventricles, represented in terms of a pressure-volume relationship (46). Such a model accounts for the Frank Starling mechanism, thus allowing to simulate the adaptation of ventricular output to the increased venous return during exercise. For atria, a similar model is used, that accounts also for the effect of atrial contraction on ventricular filling. Vessels are represented with Windkessel models, where blood flow is related to blood pressure in a

vessel through one or more resistances, a compliance and an inertance. Vessels are grouped together into six main blocks (upper body, kidneys, splanchnic circulation, left and right legs, pulmonary circulation), each including arterial and venous sections (44). In addition, a specific representation of the ascending and descending aorta, superior and inferior vena cava is also provided.

To simulate the evolution of the cardiovascular simulator from rest to exercise, some control mechanisms were added. Indeed, physical activity elicits several adaptation phenomena both at the level of the myocardium and of the vasculature, leading to a progressive increase in oxygen delivery to the exercising regions. A baroreflex model accounts for the control on the heart and peripheral vessels. The model simulates the sympathovagal balance resetting during exercise, resulting in an overstimulation of the sympathetic system and a withdrawal of the vagal system. As such, the heart's positive inotropy and chronotropy and peripheral vasoconstriction for concurrent increasing values of aortic pressure are simulated during exercise. Moreover, a sympatholysis model was also included, that acts in the circulatory regions exhibiting higher metabolic activity. The balance between the baroreflex and metabolic regulations controls blood pressure and CO during exercise. While the sympathetic model evokes vasoconstriction peripherally preventing hypotension, the metabolic control model induces local vasodilation in the vascular regions requiring more perfusion. Finally, to simulate bicycle exercise (usually used in cardiopulmonary tests in LVAD patients), a muscle contraction model was added to the legs, that produces a pressure outside the vessels proportional to the cycling load (44).

Since exercise ultimately translates into higher oxygen delivery to the body, a model of the respiratory system was also implemented. The respiratory model contains three main modules: ventilation mechanics, ventilation control and gas exchange in the alveoli and peripheral tissues. The ventilation mechanics model describes breathing activity and was implemented through a resistive element for the airways and an elastance element for the lungs. The resulting intrathoracic pressure affects the vessels within the chest and in turn the venous return. The ventilation control adapts the ventilated flow according to the levels of oxygen and carbon dioxide sensed in the simulated upper body. For gas exchange, mass balance equations were implemented. In the alveoli, the equation accounts for the amount of inspired and expired air at each ventilation cycle and for the pulmonary flow. In the tissues, the equation accounts for

the arterial blood inflow and venous blood outflow and the metabolic activity in the considered region.

A block diagram of the cardiorespiratory simulator described so far is reported in *Figure 1*.

The cardiorespiratory simulator was tuned to the condition of an average LVAD patient. It is known that an LVAD is not capable to completely reverse the underlying HF condition of a patient and that many impairments persist after LVAD implantation at the level of both the cardiovascular and respiratory systems (24).

A detailed description on how to tune cardiovascular parameters to an average LVAD patient is provided in Fresiello *et al.* (45). Briefly, systolic and diastolic functions are adjusted to mimic the mechanical properties of ventricles. In LVAD patients, the contractility of the left (and in some cases of the right) ventricle is impaired compared to a healthy heart. Concerning diastole, the left ventricle is stiffer than a failed unassisted ventricle, due to the “reverse-remodelling” operated by the LVAD (47). The systemic and pulmonary circulation are adjusted to reproduce a relatively lower peripheral resistance [due to pharmacological treatment (48)] and a slightly higher pulmonary resistance, observed in some LVAD patients (49). In addition, some tuning of the control mechanisms that drive the adaptation of the cardiovascular system to exercise are also performed (44). HF patients are chronically exposed to low values of perfusion, it is likely to assume that tissues adapted to poorer values of oxygen content. Therefore, the metabolic control model is tuned to be less efficient in inducing vasodilation. Also, a sympathovagal imbalance is implemented to reproduce the chronotropic incompetence often observed in LVAD patients (18). Finally, the respiratory system embeds some impairments related to the HF condition: a reduced perfusion of ventilated lungs and an increased respiratory quotient (50).

Concerning the LVAD model, a rotary blood pump can be represented hemodynamically by an equation relating pump flow, pressure head and impeller speed (51):

$$\Delta P(t) = \alpha_{LVAD} \cdot Q_{LVAD}(t)^2 + \beta_{LVAD} - R_{LVAD} \cdot Q_{LVAD}(t) - L_{LVAD} \cdot \frac{dQ_{LVAD}(t)}{dt} \quad [1]$$

Where  $\Delta P$  is the pressure difference across the LVAD (pump head),  $Q_{LVAD}$  is the LVAD flow,  $R_{LVAD}$  and  $L_{LVAD}$  are the resistance and the inertance of LVAD,  $\alpha_{LVAD}$  and  $\beta_{LVAD}$  are parameters that depend on pump pressure-flow characteristics and the selected rotational speed. Additional

resistive and inertial elements can be added to the equation to represent the inflow cannula and outflow graft (52).

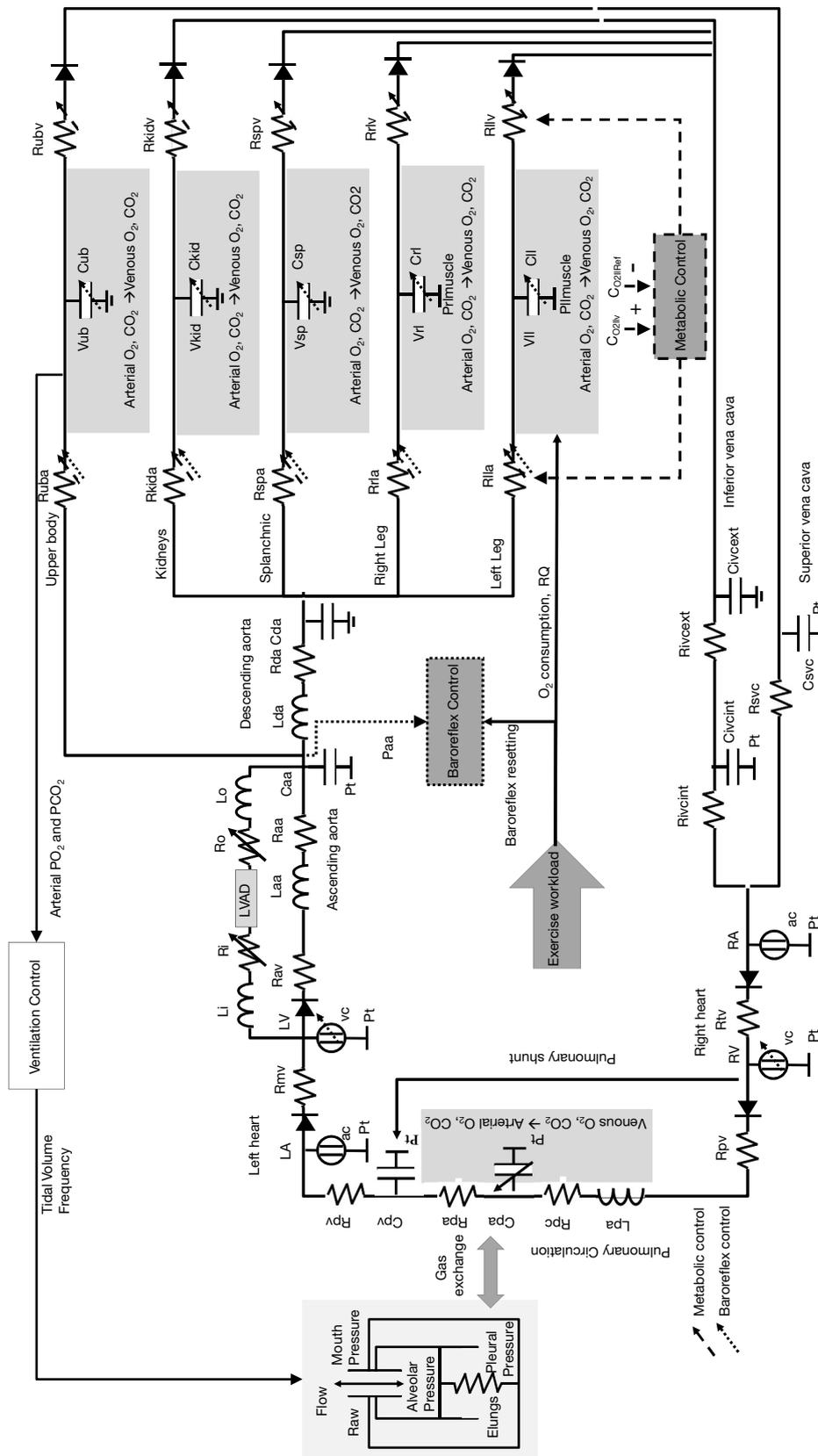
The simulator was validated at rest and at exercise for healthy, HF and LVAD patients (44,45). Once validated, the simulator was used to unravel the complexity of exercise physiology in presence of an LVAD. Indeed, the simulator offers the opportunity to rationalize the physiological phenomena taking place during physical activity and to investigate the impact of a single parameter on general hemodynamics. Specifically, three main topics were investigated and discussed hereafter.

### *What's the impact of the LVAD on exercise hemodynamics?*

To answer this question, an average LVAD patient was simulated at rest, supported by an HVAD (Medtronic Inc., Minneapolis, MN, USA) running at 2,700 rpm. Then, a bicycle exercise of 80 Watts of intensity was imposed on the simulator, corresponding to an oxygen uptake of 15.2 mL/min/kg [average peak value reached by LVAD patients (19)]. Simulation output was compared with hemodynamic data of LVAD patients at rest and at maximal exercise taken from the literature (19,53-56) (see *Table 1*).

According to simulations, LVAD patients experience an increase in wedge pressure from rest to exercise. The increased wedge pressure indicates on one hand an insufficient capacity of the LVAD and the native ventricle to cope with the right ventricular output, on the other hand an insufficient capacity of the native ventricle to accommodate increased blood volumes.

For a better explanation, the simulated pressure-volume loops of the assisted ventricle are shown in *Figure 2*. They are compared with the pressure-volume loops simulated for a failed unassisted ventricle (HF) at rest and when cycling at an intensity of 56 Watts. The LVAD provokes a “reverse remodelling” of the failed ventricle chronically that consists in stiffer elastic properties in diastole. Therefore, the assisted ventricle is smaller and works on a nonlinear portion of the diastolic curve, while the HF ventricle is larger and works in a more linear portion of the diastolic curve. During exercise, venous return increases. In the HF ventricle, contractility increases very little or not at all, so the ventricle resorts to only using the Frank Starling mechanism: the end diastolic volume increases in order for the ventricle to eject a larger stroke volume. In the assisted ventricle the increase of volume simulated is less evident, in agreement with (54,55). But because of the diastolic stiffness, even a small increase in volume translates in a

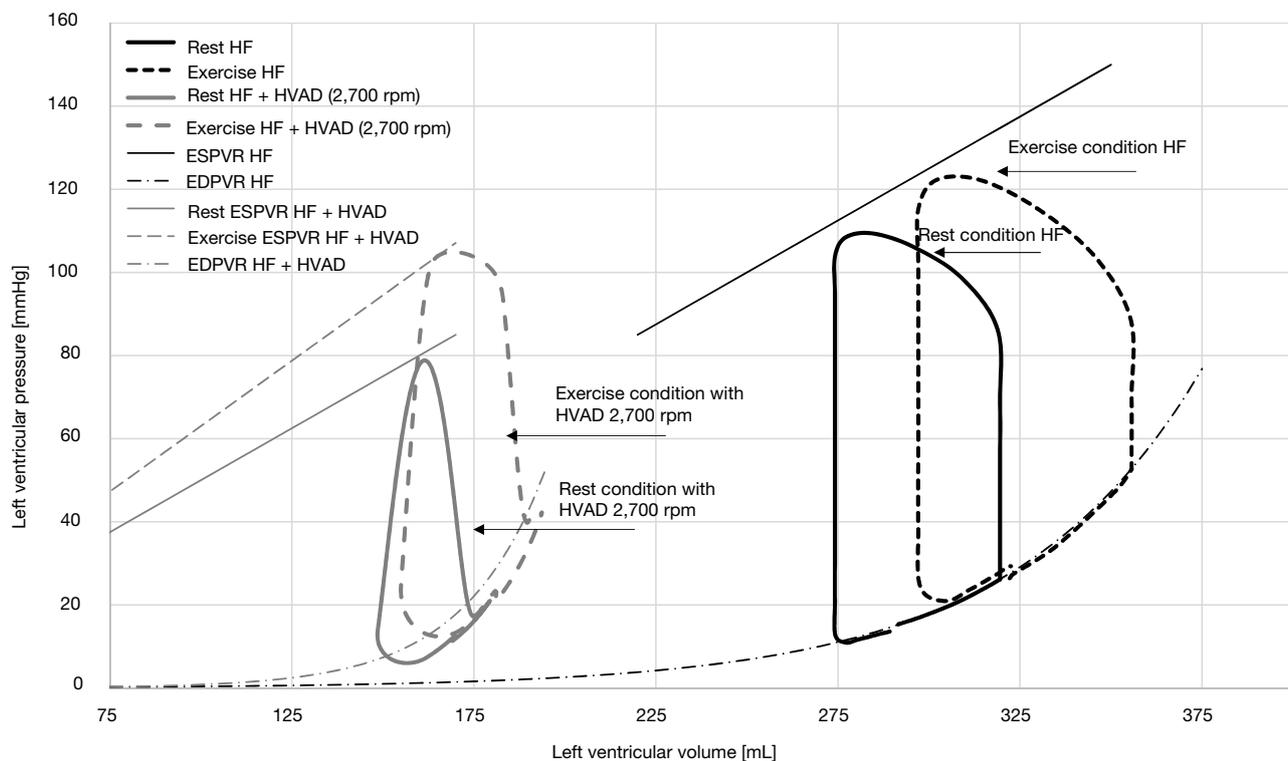


**Figure 1** Block diagram of the cardiorespiratory simulator. Left/right atria (LA, RA) and ventricles (LV, RV) are represented as elastances changing over time according to an excitation function (ac and vc), heart valves are represented with diodes and resistances (Rmv, Rav, Rtv, Rpv). Each  $i^{th}$  circulatory district is simulated by arterial/venous resistance (Ria/Riv), compliance (Ci), and inertance (Li). Suffixes indicate: aa (ascending aorta); da (descending aorta); ub (upper body); kid (kidneys); sp (splanchnic circulation); ll/r (left/right leg); ivcint/ivext (inferior vena cava internally/externally the chest); svc (superior vena cava); p (pulmonary circulation). Legs are affected by the muscular pressure (Prlmuscle and Pllmuscle). The arterial pulmonary compliance (Cpa) depends on left atrial pressure and on Rpa (45). Metabolic and baroreflex systems control some components of the cardiovascular system as indicated by the respective arrow symbols. Ventilation mechanics is modelled with a resistance (Raw) and a compliance (Elungs). The resulting intrathoracic pressure (Pt) influences vessels inside the rib cage. Gas exchange in peripheral tissues is shown in the left leg only for simplicity. It is modelled as a mass balance equation among the arterial and venous flows, the oxygen consumption (depending on the level of exercise simulated), and the respiratory quotient (RQ). The LVAD is simulated according to Eq1, between the left ventricle and the ascending aorta. Ri (Ro) and Li (Lo) represent additional resistances and inertances of the inflow (outflow) cannulas.

**Table 1** Comparison between the data of LVAD patients taken from clinical studies (19,53-56) and the simulated data of an average patient with an HVAD support of 2,700 rpm. Data refer to the hemodynamic condition at rest and at maximal exercise

Variable	Rest		Exercise	
	Literature	Simulation	Literature	Simulation
Heart rate, bpm	76±3	75	117±15	113
Cardiac output, L/min	5.0±0.4	4.8	7.3±1.4	6.8
Aortic pressure, mmHg	90.6±8.8	92.1	98.3±12.8	100.5
Pulmonary arterial pressure, mmHg	21.5±1.2	19.3	31.6±1.8	34.2
Wedge pressure, mmHg	15.1±2.7	13.2	22.3±3.1	25.5
Right atrial pressure, mmHg	7.4±1.4	8.7	13.0±4.0	16.5
LVES, mL	160	149	154	156
LVED, mL	193±16	179	186±18	194
LV flow, L/min	0.0±0.0	0.0	1.6±1.5	1.5
Q <sub>LVAD</sub> , L/min	5.3±0.0	4.8	6.1±0.1	5.3

LVAD, left ventricular assist device; LVES, end-systolic left ventricular volume; LVED, end-diastolic left ventricular volume; LV flow, left ventricular flow; Q<sub>LVAD</sub>, LVAD pump flow.

**Figure 2** Simulated pressure-volume loops of the left ventricle in: heart failure condition (HF) at rest and during cycling at 56 Watts, in heart failure supported by a HVAD at 2,700 rpm at rest and during cycling at 80 Watts. The plot includes the end-diastolic pressure volume relationship (EDPVR) and the end-systolic pressure volume relationship (ESPVR) curves.

large increase in pressure.

Concerning CO, simulations in *Table 1* show that at peak exercise CO increases of +2.0 L/min, a change comparable to that observed in HF patients (56-58), and lower than that of healthy subjects (59). The increase in CO is the combined effect of the LVAD flow increase (+0.5 L/min) and of the native ventricle that starts to eject at exercise (+1.5 L/min). This result is in agreement with Gross *et al.* (29) observing a higher likelihood of aortic valve opening at exercise than at rest. Given the modest increase of CO and the limited contribution of the LVAD, in the next paragraph we investigated the possible hemodynamic benefits of an increase in LVAD speed during exercise.

### What's the impact of LVAD speed increase on exercise hemodynamics?

To answer this question, we started from the simulated average patient with an HVAD support of 2,700 rpm performing a bicycle exercise of 80 Watts intensity (described in *Table 1*). Starting from this average patient (average), additional exercise patient profiles were simulated. For this purpose, some parameters of the simulator were released from the controls and manually imposed systematically: left/right systolic function (Emaxl/Emaxr), HR, and systemic resistance [total peripheral resistance (TPR)]. These parameters were changed individually by  $\pm 20\%$ , compared to the value of average. This allowed us to simulate a patient with a better/poorer inotropic, chronotropic and vascular response to exercise. Additionally, profiles with aortic stenosis (AS) and aortic insufficiency (AI) were also simulated.

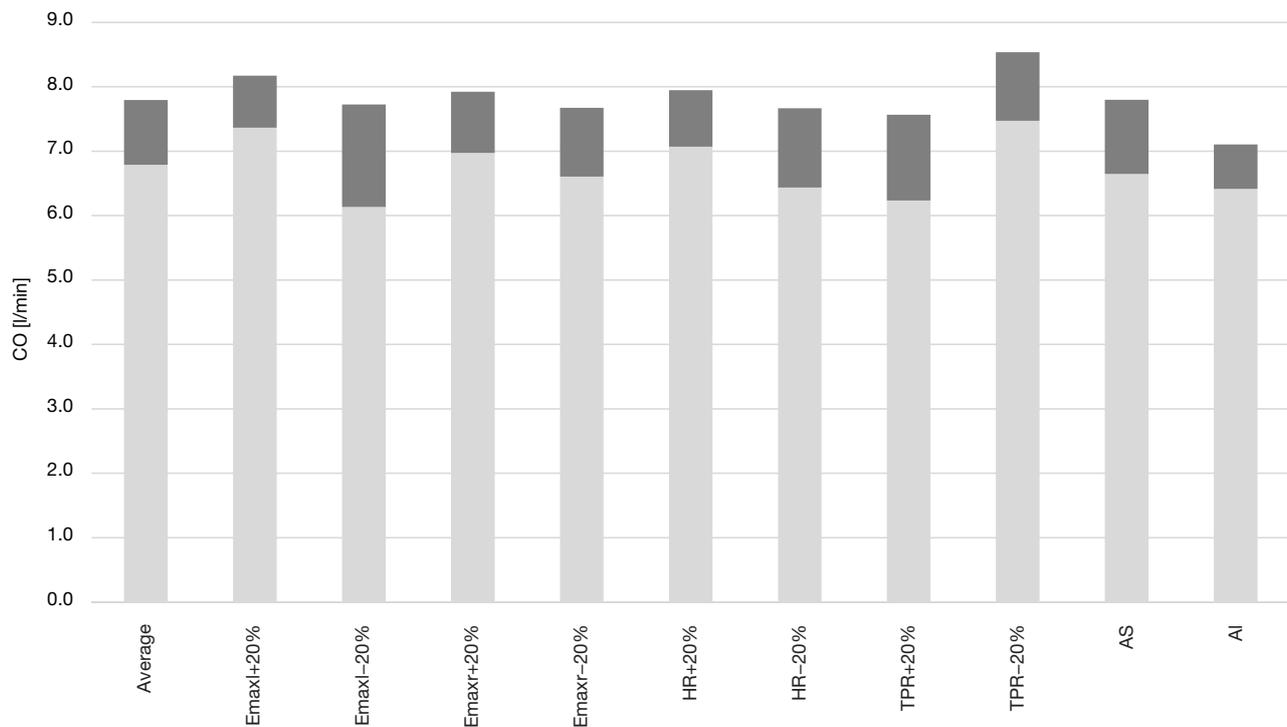
Each profile was simulated at peak exercise with a baseline HVAD speed of 2,700 rpm and with increased HVAD speed of 3,500 rpm. Results are reported in *Figure 3*, where the CO is plotted for each profile at the HVAD speed of 2,700 rpm. The increase of CO due to the augmented HVAD speed is plotted in addition.

For the average patient, CO is 6.8 L/min at exercise, of which 1.5 L/min is provided by the native ventricle. LVAD speed increase produces an additional +1.0 L/min of CO, in agreement with Mezzani *et al.* (60). This higher LVAD speed provokes an augmented LVAD output that ultimately sets the ventricle back to full support. The patient profile with increased left ventricular contractility (Emaxl+20%) has already better CO at exercise for baseline speed (7.4 L/min), of which 2.7 L/min is pumped by the ventricle. The increased LVAD speed elicits a moderate improvement of

CO (+0.8 L/min), since it ultimately results in a competition between the left ventricle and the LVAD in ejecting blood. Indeed, the native ventricle with a better residual contractility still ejects for a concomitant LVAD speed increase during exercise. This ventricular output provokes a reduced sensitivity of LVAD to preload compared to a full support condition [for more info please refer to (29)]. Conversely, the Emaxl-20% profile benefits from an LVAD speed increase the most (CO+1.6 L/min). At baseline speed, the Emaxl-20% profile shows very little ventricular output (0.2 L/min). At a higher speed, the LVAD completely overcomes the ventricle in ejecting blood. Patients profiles with a poorer chronotropic response (HR-20%) and with poorer vascular response (TPR+20%) evidence major CO improvement with higher LVAD speed (+1.2, 1.3 L/min respectively) compared to their counterparts (+0.9 L/min for HR+20% and +1.1 L/min for TPR-20%). For Emaxr+20% and Emaxr-20%, the increase of CO due to LVAD speed increase is comparable (+0.9 L/min and +1.1 L/min, respectively). Finally, for a patient with AS, LVAD increase can bring +1.2 L/min to CO. In case of aortic regurgitation CO increase is more modest (+0.7 L/min) and at the expenses of the left ventricle that has to pump regurgitant flow additionally (-1.3 L/min in total).

Simulations showed that the benefits of LVAD speed increase depend on patients' underlying condition and cardiovascular impairments. These results can explain in part the different and sometimes contradictory results reported by clinical studies investigating a manual LVAD speed increase during maximal cardiopulmonary exercise tests (19,24-28). In most of these studies, LVAD speed was increased mildly or moderately and of the same amount regardless the type of patient. According to our simulations, patients with a poorer chronotropic, inotropic and vascular response, namely older HF patients, are likely to benefit more from an LVAD speed controller, as observed clinically in (28).

Concerning the wedge pressure, for the simulated profiles with increased LVAD speed values ranged from 17.1 mmHg (Emaxr-20%) to 24.2 mmHg (Emaxr+20%). It is evident that the LVAD is unable to properly accommodate and pump forward the increased preload, even after a substantial speed increase (as 3,500 rpm for the HVAD is already beyond the limits sets for clinical use). Hence, there could be benefits in a further upregulation in LVAD speed in certain patients or an LVAD with a larger output range than what is currently clinically available. It is worth noting that in healthy subjects, CO increases at least 3-fold to



**Figure 3** Simulated cardiac output (CO) for different profiles of left ventricular assist device (LVAD) patients during cycling at 80 Watts. Light grey columns refer CO each patient profile would reach with a HVAD speed of 2,700 rpm; dark grey columns indicate the additional CO achievable by increasing HVAD speed up to 3,500 rpm. Baseline refers to the average patient profile, EmaxI+20% (-20%) refers to a patient with a better (poorer) left inotropic response at exercise, Emaxr+20% (-20%) refers to a patient with a better (poorer) right inotropic response at exercise, HR+20% (-20%) refers to a patient with a better (poorer) right chronotropic response at exercise, TPR+20% (-20%) refers to a patient with a lower (higher) peripheral vasodilation at exercise, AS (AI) refer to a patient profile with aortic stenosis (regurgitation). HR, heart rate; TPR, total peripheral resistance; AS, aortic stenosis; AI, aortic insufficiency.

15–20 L/min during physical activity, values far beyond the capabilities of current LVADs.

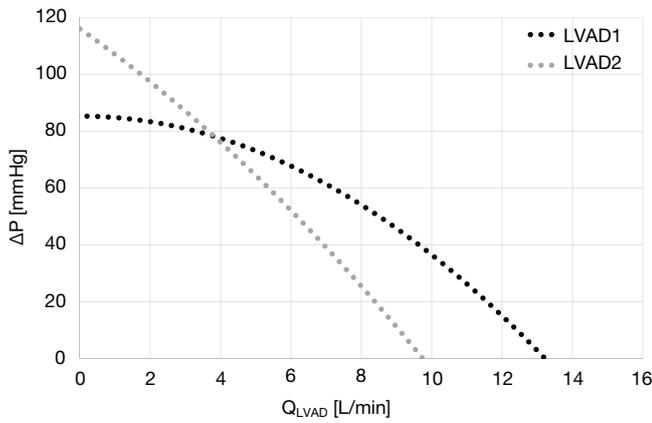
### What's the impact of LVAD pressure-flow curves on exercise hemodynamics?

Current LVADs operate at a constant impeller speed and are unable to regulate pump flow to body demands. As such,  $Q_{LVAD}$  ultimately depends on pump head  $\Delta P$  and on the pressure-flow curves that can change according to pump speed and inner design [see Eq. [1]]. An LVAD with a flatter pressure-flow curve is defined as “pressure sensitive”, namely a small decrease in  $\Delta P$  produces a large increase in pump flow. Two examples of pressure-flow curve, for a centrifugal and an axial pump (LVAD1 and LVAD2, respectively) are reported in *Figure 4*.

Given these premises, the question is which type of

LVAD pressure-flow curve would better support exercise hemodynamics (here we assume no LVAD speed control in place, so a constant speed is set for the simulations).

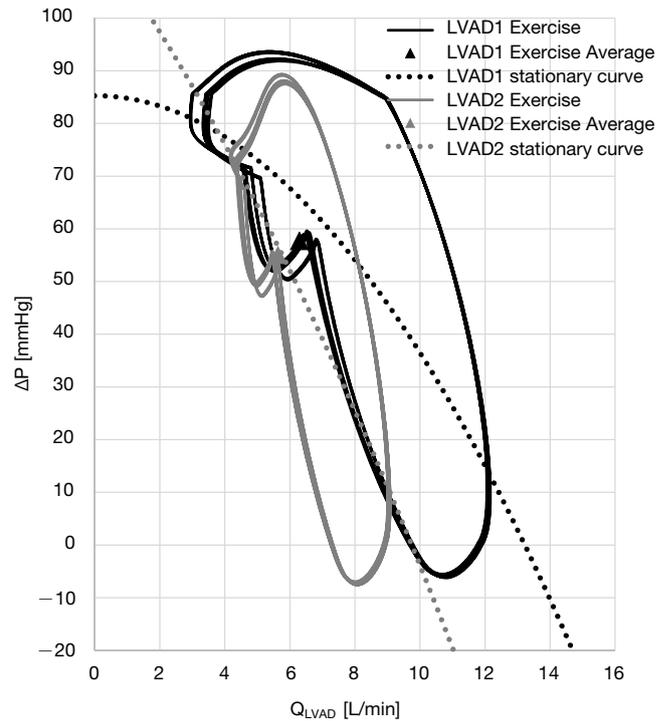
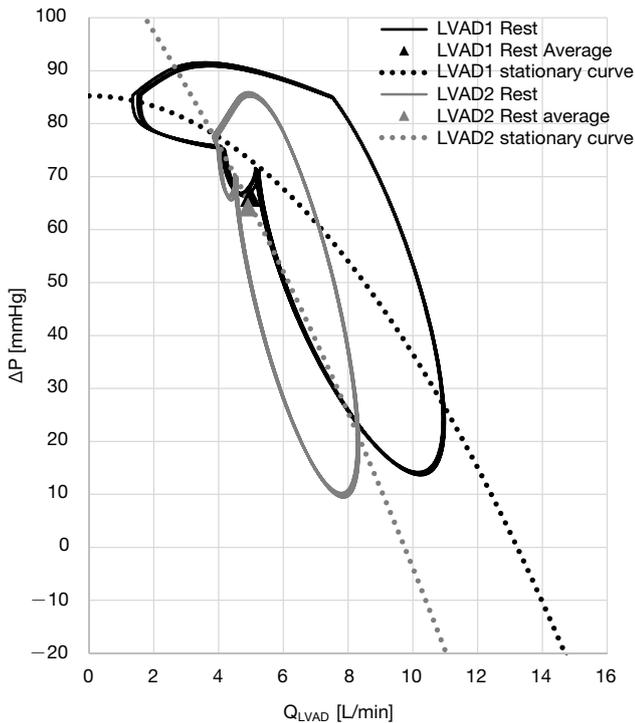
To answer this question, we first need to understand how LVAD flow profile evolves from rest to exercise due to the surrounding hemodynamics across the pump. In a previous paper, the authors investigated this topic in 14 patients with an HVAD that performed 24 maximal bicycle exercise tests (30). Again, the interaction between the LVAD and the cardiovascular system was shown to be patient specific. LVAD flow features (average, diastolic, systolic values and pulsatility) evolved differently from rest to exercise according to patients. In some cases, an overall increase of all LVAD flow features was observed, in other cases a decrease of LVAD flow in diastole or a decrease in flow pulsatility were reported [the underlying cardiovascular reasons for the different evolutions are reported in (30)].



**Figure 4** Simulated stationary pressure-flow curves of LVAD1 and LVAD2 excluding cannulation. Black line: pressure-flow curve similar to a centrifugal LVAD under development at ReinVAD GmbH, Germany. Grey line: pressure-flow curve similar to the axial HeartMate II (Abbott Laboratories, USA). The black line describes a more pressure sensitive pump than the grey line. LVAD, left ventricular assist device.

Most cases showed a general increase in all LVAD flow features, in agreement with our simulated average patient described beforehand. Starting from this profile, two pumps with the pressure-flow characteristics reported in *Figure 4* were simulated at rest and at bicycle exercise of 80 Watts intensity. Both LVADs provide same average LVAD flow at rest of 4.9 L/min, but each LVAD is expected to react differently to the  $\Delta P$  changes at exercise according to the pump-specific pressure-flow characteristics.

From *Figure 5*-left panel we can observe that the dynamic pressure-flow curves differ for LVAD1 and LVAD2, but the average  $Q_{LVAD}$  value is comparable for both pumps. As such, both pumps provide the same level of support at rest. During exercise (*Figure 5*-right panel) a right down-shift of the LVAD pressure-flow loops is observed. Indeed, the  $\Delta P$  across the LVAD is reduced both in diastole (due to the increase of wedge pressure discussed beforehand), and in systole (since the left ventricle starts to eject). Because of the flatter pressure-flow characteristics, the more “pressure sensitive” LVAD1 reacts to this  $\Delta P$  drop with a higher



**Figure 5** Simulated LVAD pressure-flow curves. Continuous lines: dynamic pressure-flow curves for LVAD1 and LVAD2 at rest (left panel) and at exercise (right panel). The shown hysteresis runs counter-clockwise and is due to the inertia in the respective pump and cannulas. Dotted lines: stationary pressure-flow curves for LVAD1 and LVAD2. The triangles illustrate the average  $Q_{LVAD}$  and  $\Delta P$  values over heart cycles. LVAD, left ventricular assist device.

increase in flow (average  $Q_{LVAD}$  is 6.3 L/min for LVAD1 and 5.6 L/min for LVAD2). As such, LVAD1 can better support hemodynamics in patients' profiles, experiencing an overall reduction of load across the pump at exercise.

## Conclusions

Exercise limitation in LVAD patients is a multifactorial problem, due to a non-complete reversing of the underlying HF condition.

In this complex and diverse scenario, the contribution of cardiovascular modelling is to offer a comprehensive and rationalized representation of the variables involved in exercise physiology. The simulator presented here reproduced the cardiorespiratory system and the adaptation mechanisms occurring from rest to exercise with their major impairments due to HF. The simulator reproduced the hemodynamic limitations in LVAD patients at exercise and the change of working conditions for the native ventricle. Simulation results showed that flatter pressure-flow characteristics can better sustain patients' hemodynamics. Concerning LVAD speed increase and its possible benefits on exercise, a comparative study was conducted where different patients' profiles were simulated to account for the hemodynamic diversity observed in the clinics. The resulting testing scenarios revealed the need for different LVAD speed strategies, tailored on patients' specific vascular and cardiac conditions. Such a simulator can be used to define and test physiological control algorithms for LVADs as well as to define new therapeutic strategies aimed at improving patients' exercise responses.

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## Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

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